PTO/SB/21 (09-04)

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(to be used for all correspondence after initial filing)

Application Number	10/716,393
Filing Date	November 17, 2003
First Named Inventor	Quan Nguyen
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	70-000410US

Total Number of Pages in This Submi	Attorney Docket Number	70-00041005					
ENCLOSURES (check all that apply)							
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group					
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information					
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter					
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Express Abandonment Request	Terminal Disclaimer	1449, cited references and receipt acknowledgment postcard					
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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Juliana Hermes

Attorney Docket No. 70-000410US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quan Nguyen, et al.

Application No.: 10/716,393

Filed: November 17, 2003

For: RNAI-BASED SENSORS, CAGED

INTERFERING RNAS, AND METHODS OF USE THEREOF

Confirmation No.

Examiner: Unassigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the cited U.S. patent references are not provided. However the applicants will gladly provide copies of any references requested by the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Quan Nguyen, et al.

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D., Reg. No. 41,261 For Monicia Elrod-Erickson, Ph.D., Reg. No. 51,651

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PTO/SB/08A (08-03)

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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/716,393 **Application Number** November 17, 2003 Filing Date First Named Inventor **Quan Nguyen Group Art Unit** 1645 Unassigned **Examiner Name** 70-000410US Attorney Docket Number February 15, 2006 **Date Submitted** 

(use as many sheets as necessary)

			U.	S. PATENT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Docu Number	ment Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
	1	5,801,007		Simpson et al.	09-01-1998	
	2	6,017,758		Haselton et al.	01-25-2001	
	3	2001/0024830		Haselton et al.	09-27-2001	
	4	6,310,189		Fodor et al.	10-30-2001	

					N PATENT DOCUMEN			,
		Foreign Patent Document				Date of Publication	Pages, Columns, Lines,	1_
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	<u> </u>
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	5	Chiu, YL and Rana, TM (2002) RNAi in human cells: basic structural and functional features of small interfering RNA, Mol. Cell, 10(3): 549-561.	
	6	Shah, S. et al. (2005) Light-activated RNA interference. Angew. Chem. Int. Ed. 44, 1328-1332	

Examiner	Date	•
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.